

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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7628

In re application of: Dutta et al.

Serial No.: 09/833,340

Filed: April 12, 2001

For: Method and Apparatus for Processing a Check within a Financial

System

Group Art Unit: 3628

Examiner: Milef, Elda G.

Attorney Docket No.: AUS920010213US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

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ATTORNEY FOR APPLICANTS

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• •	§	Group Art Unit: 3628
Serial No.: 09/833,340	§	
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Financial System		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a

search has been made.

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. No fee is required.

Respectfully submitted,

Date:

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Complete if Known				
Application Number	09/833,340			
Filing Date	April 12, 2001			
First Named Inventor	Dutta et al.			
Examiner Name	Elda G. Milef			
Art Unit	3628			
Attorney Docket No.	AUS920010213US1			

Examir Initial		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	6,786,398 B1	Sep. 7, 2004	Stinson et al.	
	AB	6,021,202	Feb. 1, 2000	Anderson et al.	
	AC	5,444,794	Aug. 22, 1995	Uhland, Sr.	

FOREIGN PATENT DOCUMENTS						
Examiner Initial		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Ticictailt i assages of ficictailt	

	NON PATENT LITERATURE
Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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Date Considered:

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